# 10<sup>nd</sup> Week

### Average-Case Complexity and Real Computability

#### Synopsis.

- Average-Case Complexity
- Complexity of Distributions
- Real Computability
- Nearly-BPP

#### Course Schedule: 16 Weeks Subject to Change

- Week 1: Basic Computation Models
- Week 2: NP-Completeness, Probabilistic and Counting Complexity Classes
- Week 3: Space Complexity and the Linear Space Hypothesis
- Week 4: Relativizations and Hierarchies
- Week 5: Structural Properties by Finite Automata
- Week 6: Stype-2 Computability, Multi-Valued Functions, and State Complexity
- Week 7: Cryptographic Concepts for Finite Automata
- Week 8: Constraint Satisfaction Problems
- Week 9: Combinatorial Optimization Problems
- Week 10: Average-Case Complexity
- Week 11: Basics of Quantum Information
- Week 12: BQP, NQP, Quantum NP, and Quantum Finite Automata
- Week 13: Quantum State Complexity and Advice
- Week 14: Quantum Cryptographic Systems
- Week 15: Quantum Interactive Proofs
- Week 16: Final Evaluation Day (no lecture)

### YouTube Videos

- This lecture series is based on numerous papers of T. Yamakami. He gave conference talks (in English) and invited talks (in English), some of which were videorecorded and uploaded to YouTube.
- Use the following keywords to find a playlist of those videos.
- YouTube search keywords:

Tomoyuki Yamakami conference invited talk playlist





#### Main References by T. Yamakami



- R. Schuler and T. Yamakami. Sets computable in polynomial time on average. In the Proc. of COCOON'95, LNCS, vol. 959, pp. 400-409 (1995).
- R. Schuler and T. Yamakami. Structural average-case complexity theory. J. Comput. Systems Sci. 52, 308-327, (1996)
- T. Yamakami. Average Case Computational Complexity. Ph.D. dissertation, University of Toronto (1997)
- T. Yamakami. Polynomial time samplable distributions. J. Complexity, 15, 557-574 (1999)
- T. Yamakami. Nearly bounded error probabilistic sets (extended abstract). In Proc. of CIAC 2003, LNCS, vol. 2653, pp. 213-226 (2003)

## I. Polynomial on Average

- 1. Worst-Case and Average-Case Analysis
- 2. Distributions and Density Functions
- 3. Standard Distributions
- 4. Polynomial-Time Computable Distributions
- 5. Distributional Decision problems
- 6. t on μ-Average
- 7. Polynomial on Average
- 8. Average P, Average NP, and Average BPP

#### Worst-Case and Average-Case Analysis

- There are a few reasons that we usually concentrate on finding only the worst-case running time, that is, the longest running time for any input of size n.
  - The worst-case running time of an algorithm is an upper bound on the running time for any input.
  - For some algorithms, the worst case occurs fairly often.
- In some particular cases, we shall be interested in the average-case (or expected) running time of an algorithm.
- For average-case analysis, we need to discuss distributional problems whose inputs occur according to certain probability distributions.

### Distributions and Density Functions I

- Let  $\Sigma = \{ 0, 1 \}$ .
- We use the lexicographic order over  $\{0,1\}^*$ , defined as  $\lambda < 0 < 1 < 00 < 01 < 10 < 11 < 000 < 001 < ...$
- Here, "polynomials" are to have integer coefficients.
- A semi-distribution µ is an increasing function from Σ\* to R<sup>≥0</sup> (i.e., set of nonnegative real numbers).
- A distribution  $\mu$  is a semi-distribution that satisfies  $\lim_{x \to \infty} \mu(x) = 1$

where " $x \rightarrow \infty$ " means that x is becoming "larger" according to the lexicographic order, described above.

### Distributions and Density Functions II

- In other words, a distribution  $\mu$  is an increasing function from  $\Sigma^*$  to [0,1] such that  $\lim_{x \to \infty} \mu(x) = 1$
- A (probability) density function  $\mu^*$  is defined by  $\mu^*(x) = \begin{cases} \mu(\lambda) & \text{if } x = \lambda, \\ \mu(x) - \mu(x^-) & \text{otherwise} \end{cases}$
- A probability density function is also called a probability distribution.
- (Claim)  $\mu(x) = \sum_{z \le x} \mu^*(z)$  holds, where  $\le$  is the lexicographic ordering.

#### **Notational Remarks**

- We use an appropriate encoding  $\langle x, 0^i \rangle$  of pair x and  $0^i$ .
- Our algorithm (or a machine) M takes inputs of the form (x,0<sup>i</sup>) and eventually enters either accepting or rejecting states.
- Although we actually use encoded strings (x,0<sup>i</sup>), for the sake of convenience, we write M(x,0<sup>i</sup>) instead of M((x,0<sup>i</sup>)) for an algorithm (or a machine) M.

#### Standard Distribution on {0,1}\* I

- Let  $Ilog(n) = \lfloor log_2(n+1) \rfloor$ .
- llog(0)=0, llog(1)=1, llog(2)=1, llog(3)=2, ....
- Here is the standard density function on  $\{0,1\}^*$ .  $v_{\text{stand}}^*(x) = 2^{-|x|} \cdot 2^{-2llog(|x|)-1}$
- This means that we pick a natural number at random and pick a string of length n at random.

$$\frac{1}{8(|x|+1)^2 2^{|x|}} \le v^*_{\text{stand}}(x) \le \frac{1}{2(|x|+1)^2 2^{|x|}}$$

### Standard Distribution on {0,1}\* II

• Its distribution is shown as

$$\nu_{\text{stand}}(x) = 1 - \frac{3}{2^{\text{llog}(|x|-1)+1}} + \frac{|x|+1}{2^{2\text{llog}(|x|-1)+1}} + \frac{k+1}{2^{2\text{llog}(|x|)+|x|+1}}$$

when x is the k-th string.

• Recall the lexicographic order:

 $\lambda < 0 < 1 < 00 < 01 < 10 < 11 < 000 < 001 < ...$ 0 1 2 3 4 5 6 7 8 ....

### Standard Distribution on {0}\*

- A string over a single alphabet is called tally.
- Here is the standard density function on {0}\*.

$$v_{tally}^{*}(x) = \begin{cases} 1/2 & \text{if } x = \lambda, \\ 2^{-2llog(n)-1} & \text{if } \exists n > 0[x = 0^{n}], \\ 0 & \text{otherwise.} \end{cases}$$

- This means that we pick a natural number at random.
- Its distribution is shown as

$$v_{tally}^{*}(0^{n}) = \begin{cases} 1/2 & \text{if } n = 0; \\ 2^{-2llog(n)-1} & \text{otherwise.} \end{cases}$$

### Polynomial-Time Computable Distributions

- Let μ: Σ\*→ [0,1] be any distribution.
- µ is polynomial-time computable
  ⇔ there is a polynomial-time deterministic Turing machine (DTM) with an output tape such that

 $| \mu(x) - M(x,0^{i}) | \le 2^{-i}$ 

for any  $x \in \Sigma^*$  and  $i \in N$ .

• Let P-comp be the collection of all polynomial-time computable distributions.



### **Approximation of Distributions**

μ(**x**)





As we increase k, M(x,0k) is approaching to  $\mu(x)$ 

### **Distributions Versus Density Functions**

- We have defined the polynomial-time computable distributions and we will use them as a basis of our polynomial-time computability in average-case complexity theory.
- It could be possible to introduce polynomial-time computable density functions and develop average-case complexity theory. However, we did not use density functions in place of distributions.
- This is because:
  - If P ≠ NP (as many researchers believe), there exists a density function that is computable in polynomial time but its associated distribution is not polynomial-time computable.

### **Distributional Decision Problems**

- A distributional decision problem is a pair (D, $\mu$ ), where D is a language and  $\mu$  is a distribution.
- Recall P (deterministic polynomial-time class) and NP (nondeterministic polynomial-time class) from Week 1.
- Let F be a class of distributions.
- Dist(P,F) consists of all distributional decision problem (D,μ) such that D∈P and μ∈F.
- Dist(NP,F) consists of all distributional problems (D,μ) with D∈NP and μ∈F.

#### DistNP

- In the definition of Dist(NP,F), if we choose F = all distributions, we write Dist(NP,\*) for { (D,μ) | D∈NP,μ: arbitrary }.
- Thus,  $Dist(NP,F) \subseteq Dist(NP,*)$  holds for any set F.
- Dist(NP,P-comp) is the collection of all distributional decision problem (D,μ) such that D∈NP and μ∈P-comp.
- In this case, we write **DistNP** instead of **Dist(NP,P-comp)**.

#### t on µ-Average

- Let  $R^+ = \{ r \in R \mid r \ge 0 \}$  and  $R^{+\infty} = R \cup \{\infty\}$ .
- Schapire (1990) considered the following. Let t:  $R^+ \rightarrow R^+$ .
- A function g:  $\Sigma^* \to \mathbb{R}^{+\infty}$  is t on  $\mu$ -average  $\Leftrightarrow$  for any positive real number r,  $\mu^* \left( \left\{ x \in \Sigma^* \mid g(x) > t(\mid x \mid \cdot r) \right\} \right) < \frac{1}{r}$



### Polynomial on Average

- From the previous definition, we obtain:
- (Claim) If g is t on μ-average, then g(x) ≤ t(|x|/μ\*(x)) holds for all x with μ\*(x)>0.
- Let T be a set of functions from R<sup>+</sup> to R<sup>+</sup>.
- A function g: Σ\*→ R<sup>+∞</sup> is T on μ-average
  ⇔ for any t ∈ T, g is t on μ-average.
- In particular, if T = all positive polynomials, we obtain the notion of "polynomial on  $\mu$ -average."

### Levin's Definition

- Levin (1984) took the following definition.
- A function g:  $\Sigma^* \to \mathbb{R}^{+\infty}$  is polynomial on  $\mu$ -average  $\Leftrightarrow$  there exists a real number  $k \ge 1$  such that  $\sum g(x)^{1/k} u^*(x) < 0$

$$\sum_{x:|x|>0} \frac{g(x)}{|x|} \mu^*(x) < \infty$$



### Equivalence Between Two Definitions

- By Schapire (1990) and Impagliazzo (1995), we obtain the following equivalence between the previous two definition on "polynomial on μ-average."
- (Claim) The following two definitions are logically equivalent.
  - 1. g is polynomial on  $\mu$ -average.
  - 2. There exists a real number  $k \ge 1$  such that

$$\sum_{x:|x|>0} \frac{g(x)^{1/k}}{|x|} \mu^*(x) < \infty$$

### Polynomial-Time on Average

- For a deterministic Turing machine (DTM) M and an input x, time(M,x) means the running time of M on input x.
- Let D be a language over alphabet  $\Sigma$ .
- We say that M recognizes D in polynomial-time on μaverage if (i) M recognizes D and (ii) the function time(M,•) is polynomial on μ-average.



### Average P

- Let F be a class of distributions.
- Average(P,F) consists of all distributional decision problems (D,μ) such that (i) μ ∈ F and (ii) a certain DTM M recognizes D in polynomial-time on μ-average.
- If F is the set of all distributions, we write Average(P,\*).
- When F = P-comp, we obtain Average(P,P-comp). This class is often written as Average-P.
- (Claim) For any set F of distributions with v<sub>tally</sub> ∈ F, Average(P,F) ⊄ Dist(NP,\*). [Wang-Belanger (1995)]

### Average NP

- Let F be a class of distributions.
- Average(NP,F) consists of all distributional decision problems (D,μ) such that (i) μ ∈ F and (ii) certain NTM M recognizes D in polynomial-time on μ-average.
- When F = P-comp, we obtain Average(NP,P-comp). This class is often written as Average-NP.
- (Claim)  $Dist(NP) \subseteq Average(NP, P-comp)$
- Theorem: [Schuler-Yamakami (1992)]
  Average(P,\*) ≠ Average(NP,\*)

#### Average BPP

- Let F be a class of distributions.
- Average(BPP,F) consists of all distributional decision problems (D,μ) such that (i) μ ∈ F and (ii) certain PTM M recognizes D with bounded-error probability in polynomial-time on μ-average.
- When F = P-comp, we obtain Average(BPP,P-comp). This class is often written as Average-BPP.
- Claim:
  - 1. If  $P \neq BPP$ , then  $Average(P, *) \neq Average(BPP, *)$ .
  - If P = PP, then Average(P,F) = Average(BPP,F) for any set F of distributions

#### **Open Problems**

- At this moment, we do not know whether all distributional problems in DistNP can be solved deterministically in average polynomial time.
- In other words,
- (Open Question) Is DistNP  $\subseteq$  Average-P?
- More generally, we can ask the following question.
- (Open Question)
  - Prove or disprove that Dist(NP,F) ⊆ Average(P,F) for each choice of natural distribution class F.

### **II. Complete Distributional Problems**

- 1. Domination Relations
- 2. Average Domination
- 3. Equivalence Relations
- 4. Polynomial-Time Many-One Reductions
- 5. Properties of  $\leq_m^p$
- 6. Average Polynomial-Time Many-One Reductions
- 7. Hard Problems and Complete Problems
- (RBTB, μ<sub>RBTB</sub>) is Complete for Dist(NP, P-comp)

### **Domination Relations I**

- We introduce a notion of "domination."
- A function f: {0,1}\* → R<sup>≥0</sup> is polynomially bounded (or p-bounded) ⇔ there exists a polynomial p such that, for all x ∈ {0,1}\*, f(x) ≤ p(|x|).
- Let  $\mu, \nu$  be distributions and let  $t : \{0,1\}^* \to R^{\geq 0}$ .
- We say that v t-dominates  $\mu$  if, for all x,  $t(x)v^*(x) \ge \mu^*(x).$
- We say that v polynomially dominates (or p-dominates) μ (μ ≤<sup>p</sup> v) if there is a certain polynomially-bounded function t and v t-dominates μ.

#### **Domination Relations II**

- Let  $\mu, \nu$  be distributions.
- (Claim) If  $\mu_1 \leq^p \mu_2$  and  $\mu_2 \leq^p \mu_3$ , then  $\mu_1 \leq^p \mu_3$ .
- (Claim) If  $\mu_1 \leq^{avp} \mu_2$  and  $\mu_2 \leq^{avp} \mu_3$ , then  $\mu_1 \leq^{avp} \mu_3$ .

(Claim) Assume that v p-dominates μ. If an algorithm A requires polynomial time on v-average, then A also requires polynomial time on μ-average.

### **Average Domination**

- Let  $\mu, \nu$  be distributions and let  $t : \{0,1\}^* \rightarrow R^{\geq 0}$ .
- We say that v average t-dominates μ if there exists a function f : {0,1}\* → R<sup>≥0</sup> such that f is t on μ-average and v f-dominates μ.
- We say that v average polynomially dominates (or avpdominates) μ (μ ≤<sup>avp</sup> v) if there exists a polynomial t such that v average t-dominates μ.
- (Claim) Assume that v avp-dominates μ. If an algorithm A requires polynomial time on v-average, then A also needs polynomial time on μ-average.

#### **Equivalence Relations**

- Let  $\mu, \nu$  be two distributions.
- We say that μ p-equivalent to v (v ≈<sup>p</sup> μ) if μ p-dominates v and v p-dominates μ.
- We say that μ average p-equivalent to v (v ≈<sup>avp</sup> μ) if μ avp-dominates v and v avp-dominates μ.

#### A Useful Condition on Domination

- Let  $\eta$  be a distribution and  $f : \Sigma^* \rightarrow \Sigma^*$  be a function.
- Define  $\eta_{f-1}$  as  $\eta_{f-1}^*(x) = \eta(\{ z \mid f(z) = x \})$  for all strings  $x \in \Sigma^*$ .
- We say that ν\* majorizes μ\* (denoted ν\* ≥ η\*) if ν\*(x) ≥ μ\*(x) holds for all x∈{0,1}\*.
- (Claim) The following statements are logically equivalent.
  - 1. There exists a semi-distribution  $\eta$  s.t.  $\mu \leq^p \eta$  and  $\nu^* \geq \eta_{\text{f-1}}{}^*.$
  - 2. There exists a p-bounded positive function p : {0,1}\*  $\rightarrow \mathbb{R}^{\geq 0}$  s.t., for all y,  $\nu^*(y) \geq \sum_{x \in f^{-1}(y)} \frac{\mu^*(x)}{p(x)}$

### **Polynomial-Time Many-One Reductions**

- Let  $(A,\mu)$ ,  $(B,\nu)$  be any distributional decision problems.
- (A,μ) is polynomial-time many-one reducible (or p-m-reducible) to (B,ν) iff there exists a function f such that
  ➤ (Efficiency) f ∈ FP,
  - > (Validity) for every x,  $x \in A \leftrightarrow f(x) \in B$
  - (Domination) for a certain semi-distribution η, μ ≤<sup>p</sup> η and ν\* ≥ η<sub>f-1</sub>\*.
- In this case, we write  $(A,\mu) \leq_{m} {}^{p}(B,\nu)$ .



#### Properties of $\leq_m^p$

- The following properties hold.
- (Reflexive)  $(A,\mu) \leq_m p (A,\mu)$
- (Transitive) If  $(A,\mu) \leq_m ^p (B,\nu)$  and  $(B,\nu) \leq_m ^p (C,\eta)$ , then  $(A,\mu) \leq_m ^p (C,\eta)$ .
- Hence,  $\leq_m^p$  forms a partial order.

#### Average Polynomial-Time Many-One Reductions

- Let  $(A,\mu)$ ,  $(B,\nu)$  be any distributional decision problems.
- (A,μ) is average polynomial-time many-one reducible (or avp-m-reducible) to (B,ν) ⇔ there exists a function f : {0,1}\* → {0,1}\* such that
  - > (Efficiency)  $(f,\mu) \in Average(FP,*)$ ,
  - > (Validity) for every x,  $x \in A \leftrightarrow f(x) \in B$
  - > (Domination) for a certain  $\eta$ ,  $\mu \leq^{\text{avp}} \eta$  and  $\nu^* \geq \eta_{f''}^*$ .
- In this case, we write  $(A,\mu) \leq_{m}^{avp} (B,\nu)$ .
- (Claim)  $(A,\mu) \leq_{m} ^{p} (B,\nu)$  implies  $(A,\mu) \leq_{m} ^{avp} (B,\nu)$ .

#### **Properties**

- Let  $(A,\mu)$ ,  $(B,\nu)$  be any distributional decision problems.
- (Claim) For any  $C \in \{P, NP, BPP, PSPACE\}$ , Average(C,\*) is closed (downward) under  $\leq_m^{avp}$ -reductions. Namely, if  $(A,\mu) \leq_m^{avp} (B,\nu)$  and  $(B,\nu) \in Average(C,*)$ , then we obtain  $(A,\mu) \in Average(C,*)$ .
#### Hard Problems and Complete Problems

- Let (A,μ), (B,ν) be any distributional decision problems.
- Let C be a class of distributional problems.
- (A,μ) is ≤<sub>m</sub><sup>p</sup> -hard for C if every distributional problem in C is p-mreducible to (A,μ).
- (A,μ) is ≤<sub>m</sub><sup>p</sup> -complete for C if (A,μ) is in C and it is ≤<sub>m</sub><sup>p</sup> -hard for C.



#### Decision Problems vs. Distributional Problems

- We can ask whether all NP-complete decision problems are also DistNP-complete distributional problems.
- Unfortunately, this statement may not be true, because:
   > 3COL is NP-complete.
  - > Wilf (1985) showed that  $(3COL, \mu_{3COL}) \in Average(P, *)$ .
- In the next slide, we will explain (3COL, $\mu_{3COL}$ ).

### Randomized 3-Colorability Problem

- Randomized 3-Colorability Problem (3COL)
  - $3COL = \{ \langle G \rangle \mid G \text{ is a graph that is 3-colorable } \}$
  - $\mu_{3COL}^*(\langle G \rangle) = v_{tally}^*(1^{|V|})2^{-(n \text{ choose } 2)}$
  - (Choose the number of vertices at ransom and then choose edges between pairs of distinct vertices at random.)



### Randomized 3-Satisfiability Problem

- Recall that 3SAT is NP-complete.
- Randomized 3-Satisfiability Problem (3SAT)
  - $3SAT = \{ \langle (p_1,q_1,r_1),...,(p_n,q_n,r_n) \rangle | \text{ formula } \wedge_{i=1}^n (p_i \lor q_i \lor r_i) \text{ is satisfiable } \}$
  - $\mu_{3SAT}^{*}(\langle (p_{1},q_{1},r_{1}),...,(p_{n},q_{n},r_{n})\rangle)$ =  $\nu_{tally}^{*}(1^{n})\Sigma_{i=1}^{n}2^{-(|pi|+|qi|+|ri|)}$
  - (Pick up n at random and pick up n triples of variables at random.)
- A formula  $\wedge_{i=1}{}^{n}(p_{i} \lor q_{i} \lor r_{i})$  is satisfiable  $\Leftrightarrow \exists \sigma$ :truth assignment s.t.  $\wedge_{i=1}{}^{n}(\sigma(p_{i}) \lor \sigma(q_{i}) \lor \sigma(r_{i}))$  equals 1

# Tiles and Tilings I

- A tile is a quadruple [u,v,x,w] of strings, where u is "left", v is "top", x is "right", and w is "bottom".
- We write left[u,v,x,w] for u, top[u,v,x,w] for v, right[u,v,x,w] for x, and bottom[u,v,x,w] for w.
- Let  $S_n$  be the n×n square  $\{1, ..., n\} \times \{1, ..., n\}$ .
- Let T be a set of tiles.
- A function f: S<sub>n</sub> → T is a T-tiling of S<sub>n</sub> if left[f(i+1,j)] = right[f(i,j)] and bottom[f(i,j+1)] = top[f(i,j)] for all i,j with 1≤ i,j ≤ n.
- A sequence <t<sub>1</sub>,t<sub>2</sub>,...,t<sub>k</sub>> is a T-row of length k if t<sub>i</sub> ∈ T for all i with 1≤ i ≤ n and left[t<sub>j+1</sub>] = right[t<sub>j</sub>] for all j with 1≤ j ≤ n.

#### Tiles and Tilings II

• T-tiling f:  $S_n \rightarrow T$ 







T-row		t <sub>1</sub>	t <sub>2</sub>	t <sub>3</sub>	t <sub>4</sub>	t <sub>5</sub>		t <sub>n</sub>

# **Tiling Problem**

- Levin (1984) discussed the distributional problem (RBTP, $\mu_{RBTP}$ ).
- Randomized Bounded Tiling Problem (RBTP)
  - instance: a set T of tiling, size n, a T-row  $< t_1, t_2, ..., t_k >$  of length k with  $1 \le k \le n$
  - question: is there a T-tiling f of  $S_n$  such that  $f(1,i) = t_i$  for any i with  $1 \le i \le n$ ?
- Distribution  $\mu_{\text{RBTP}}$  (with a fixed positive  $\mu \in \text{P-comp}$ )  $\mu_{\text{RBTP}}\left(\left\langle T, 1^{n}, 1^{k}, \left\langle t_{1}, t_{2}, \dots, t_{k} \right\rangle \right\rangle\right) = \begin{cases} \mu^{*}(T) \nu_{\text{tally}}(1^{n}) \frac{1}{n} \prod_{i=1}^{k} \frac{1}{|T_{i}|} & \text{if condition A} \\ 0 & \text{otherwise} \end{cases}$ where  $T_{i} = \{ t \in T \mid \text{left}[t] = \text{right}[t_{i}] \}.$
- Condition A:  $1 \le k \le n$  and  $T_i \ne \emptyset$  for all i with  $1 \le i \le k$

# (RBTB, $\mu_{RBTB}$ ) is Complete for Dist(NP,P-comp)

- Levin (1984) demonstrated the following completeness result.
- (Claim) Distributional Problem (RBTP, $\mu_{RBTP}$ ) is  $\leq_m^{p}$ -complete for Dist(NP,P-comp). [Levin (1984)]
- Note that the tiling problem is NP-complete.

### Randomized Bounded Halting Problem

- We show another complete distributional problem.
- Randomized Bounded Halting Problem (RBHP)
  - BHP = {  $\langle s_i, x, 1^n \rangle$  | M<sub>i</sub> accepts x in less than n steps }

• 
$$\mu_{BHP}^{*}(s_i, x, 1^n) = v_{st}^{*}(s_i)v_{st}^{*}(x)v_{tally}^{*}(1^n)$$

- (Pick up string s<sub>i</sub> at random, pick up x at random, and pick up 1<sup>n</sup> at random.)
- (Claim) (RBHP, μ<sub>BHP</sub>) is ≤<sub>m</sub><sup>p</sup>-complete for Dist(NP,Pcomp). [Gurevich-Shelah (1987)]

**Open Problems** 

- There are numerous problems that have not yet solved.
- 1. Find natural distributional problems that are complete for Dist(NP,P-comp).
- 2. Similarly, find natural distributional problems that are complete for Dist(NP,P-samp).
- P-samp will be discussed in the next section.

# **III.** Complexity of Distributions

- 1. P-Computable Distributions
- 2. Polynomial-Time Samplable Distributions
- 3. **#P-Computable Distributions**
- 4. E-Computable Distributions
- 5. Properties of Distribution Classes
- 6. avP-Samplable Distributions

### P-Computable Distributions (revisited)

- Let  $\mu: \Sigma^* \rightarrow [0,1]$  be a distribution.
- Recall that µ is polynomial-time computable ⇔ there is a polynomial-time deterministic Turing machine (DTM) with an output tape such that | µ(x) M(x,0<sup>i</sup>) | ≤ 2<sup>-i</sup>. for any x∈Σ\* and i∈N.
- Let P-comp be the collection of all polynomial-time computable distributions.

# **Polynomial-Time Samplable Distributions**

- We introduce another type of distribution.
- A distribution µ is polynomialtime samplable (or P-samplable)
   ⇔ there are a polynomial p and a probabilistic Turing machine (PTM) that take input 0<sup>i</sup> and produces strings satisfying, for every x,

 $\mid \mu^*(x) - \text{Prob}_M[M(0^i) \text{ produces } x \text{ within time } p(|x|,i) ] \mid \le 1/2^i.$ 



# P-Samp I

- Let P-samp denote the collection of all polynomial-time samplable distributions.
- (Claim) P-comp  $\subseteq$  P-samp.
- (Claim) P ≠ NP ⇒ P-comp ≠ P-samp. [Ben-David-Chor-Goldreich-Luby (1992)]
- (Claim) P = PP ⇔ P-comp = P-samp. [Milterson (1993)]



Many believe in this way

# P-Samp II

- Recall the notion of (strong) one-way function from Week
  7.
- (Claim) Assume that every distribution in P-samp is pdominated by certain distributions in P-comp. Then, there is no strong one-way function [Ben-David-Chor-Goldreich-Lubby (1992)]

# Complete Problem for Dist(NP,P-samp)

- We show the existence of complete distributional problem for Dist(NP,P-samp).
- Randomized Bounded halting Problem (RBHP)
  - BHP = {  $\langle s_i, x, 1^n \rangle$  | M<sub>i</sub> accepts x in less than n steps }
- Distribution  $\mu_U$ 
  - Let { η<sub>i</sub> }<sub>i∈N</sub> be an effective enumeration of all O(n)time samplable distributions.
  - $\mu_{U}^{*}(z) = \sum_{i=0}^{\infty} 2^{-2llog(i)-1} \eta_{i}^{*}(z)$
  - (Pick up i at random and pick up z according to  $\eta_i^*$ .)
- (Claim) (RBHP,µ<sub>U</sub>) is ≤<sub>m</sub><sup>p</sup>-complete for Dist(NP,P-samp).
   [Ben-David-Chor-Goldreich-Luby (1992)]

## Θ<sub>2</sub><sup>p</sup>-Samplable Distributions

- A P-samplable distribution is approximated by running a certain PTM starting with input 0<sup>i</sup> and produces strings x within time p(|x|,i).
- A distribution µ is Θ<sub>2</sub><sup>p</sup>-samplable if there exist a polynomial p, a constant c>0, a language A ∈ NP, and an oracle PTM M such that (1) M starts with no input and A as an oracle and (2) for every x,

 $| \mu^*(x) - \text{Prob}_M[ M^A(0^i) \text{ produces } x \text{ within time } p(|x|,i), making at most clog|x|+c queries to A ] | <math>\leq 1/2^i$ .

Let Θ<sub>2</sub><sup>p</sup>-samp to denote the class of all Θ<sub>2</sub><sup>p</sup>-samplable distributions.

#### **Invertibly P-Samplable Distributions**

- Recall that η<sub>f-1</sub> is defined as η<sub>f-1</sub>\*(x) = η({ z | f(z) = x }) for all strings x ∈ Σ\*.
- A distribution μ is invertibly polynomial-time samplale (or invertible P-samplable) if there exists a distribution ν ∈ P-comp and a p-honest function f ∈ FP such that μ = v<sub>f-1</sub>.
- Let IP-samp to denote the class of all invertibly Psamplable distributions
- Let  $IP_1$ -samp = { $v_{f-1} | v \in P$ -comp, f is one-one }
- (Claim) P-comp  $\subseteq IP_1$ -samp  $\subseteq IP$ -samp

#### **#P-Computable Distributions**

- Recall that we always identify {0,1}\* with N.
- A distribution µ is #P- computable ⇔ there is a function f: {0,1}\* × N → N in #P such that, for all pairs (x,i),

$$\left|\mu^{*}(x) - \frac{f(x, 0^{i})}{2^{p(|x|, i)}}\right| \le 2^{-i}$$

- Let **#P-comp** be the collection of all **#P-computable** distributions.
- (Claim) P-comp  $\subseteq$  P-samp  $\subseteq$  #P-comp



Many believe in this way

## **E-Computable Distributions**

- Let  $\mu: \Sigma^* \rightarrow [0,1]$  be a distribution.
- μ is E- computable ⇔ there is a DTM M such that, for all pairs (x,i), M runs in time 2<sup>c(|x|+i)</sup> and satisfies
   |μ(x) M(x,0<sup>i</sup>) | ≤ 2<sup>-i</sup>.
- Let E-comp be the collection of all E-computable distributions.
- (Claim) P-comp  $\subseteq$  E-comp



Many believe in this way

### Properties of Distribution Classes I

- We have known the following properties of and relationships among distribution classes.
- Given a  $\mu$ ,  $[\mu]_p$  denotes the equivalence class {  $\xi \mid \xi = p \mu$  }.
- Given two distribution sets F and G, we say that G pincludes F (denoted by F ⊆<sup>p</sup> G) if F/=<sup>p</sup> ⊆ G/=<sup>p</sup>.
- (Claim) #P-comp ⊆<sup>p</sup> Θ<sub>2</sub><sup>p</sup>-samp. [Schuler-Watanabe (1995)]

### Properties of Distribution Classes II

- We can show the following relationships about #Pcomputable distributions and various P-samplable distributions.
- Theorem: [Yamakami (1999)]
   P = PP ⇔ P-comp = #P-comp
- Theorem: [Yamakami (1999)]
   P = PP ⇔ P-comp = IP<sub>1</sub>-samp ⇔ P-comp = IP-samp

#### avP-Samplable Distributions

- A distribution µ is average polynomial-time samplable (or avP-samplable) if there exists a DTM with an output tape (computing a function f : {0,1}\*×{0}\* → {0,1}\*) s.t.
  - 1. Time<sub>M</sub>(x,0<sup>i</sup>) is polynomial on  $v_{st} v_{tally}$ -average, and 2.  $|\mu^*(x) - \xi_M^{(i)}(x)| \le 2^{-i}$ where  $\xi_M^{(i)}(x) = v_{stand}^* \left( \left\{ y \in \{0,1\}^* \mid M(y,0^i) = x \right\} \right)$  $(v_{stand} \circ v_{tally})^* (x,0^i) = v_{stand}^* (x) v_{tally}^* (0^i)$
- Let avP-samp denote the set of all avP-samplable distributions.
- (Claim) P-samp  $\subseteq$  avP-samp

#### **Open Problems**

- There are numerous problems that have not yet solved.
- 1. Does #P-comp =<sup>p</sup> SpanP-comp imply PP=UP?
- 2. Does avP-samp  $\subseteq_{av}$  P-comp imply P-samp  $\subseteq_{av}$  P-comp?

# IV. Real Computability

- 1. Real Computability
- 2. Complexity Class P<sub>F</sub>
- 3. Properties of P<sub>P-comp</sub>
- 4. Properties of P<sub>P-samp</sub>
- 5. Complexity Class BPP<sub>F</sub>

## **Real Computability**

- We have discussed what decision problems A are solved in average polynomial-time for a reasonable distribution μ.
- In other words, (A,µ) ∈ Average(P,F), where F is a class of distribution.
- Let us consider decision problems that are solved in average polynomial-time for all distributions  $\mu$  in F.
- Such problems are called "real" computable problems.

# Complexity Class P<sub>F</sub>

- Let F be a class of distributions.
- P<sub>F</sub> = collection of all languages L that are polynomialtime computable on μ-average for every μ∈F.
- In other words,

 $L \in P_F \iff (L,\mu) \in Average(P,F)$  holds for any  $\mu \in F$ .

- By taking P-comp, P-samp, E-comp as F, for example, we naturally obtain P<sub>P-comp</sub>, P<sub>P-samp</sub>, P<sub>E-comp</sub>, etc.
- $\begin{array}{l} \bullet \quad \mbox{(Claim)} \\ \bullet \quad P \subseteq \mathsf{P}_{\mathsf{P-samp}} \ \subseteq \mathsf{P}_{\mathsf{P-comp}} \ \mbox{(since } \ \mathsf{P-comp} \subseteq \mathsf{P-samp}). \\ \bullet \quad \mathsf{P} \subseteq \mathsf{P}_{\mathsf{E-comp}} \ \subseteq \mathsf{P}_{\mathsf{P-comp}} \ \mbox{(since } \ \mathsf{P-comp} \subseteq \mathsf{E-comp}). \end{array}$

# Properties of P<sub>P-comp</sub>

- Here is a short list of properties known today.
- 1. P = P<sub>E-comp</sub> [Schuler-Yamakami (1995)]
- 2.  $P \neq P_{P-comp}$  [Schuler (1995)]
- P<sub>P-comp</sub> ⊄ P/cn for any fixed c>0 [Schuler-Yamakami (1995)]
- 4. NP  $\subseteq$  P<sub>P-comp</sub> implies P=BPP. [Buhrman-Fortnow-Pavan (2005)]
- 5. NP  $\subseteq$  P<sub>P-comp</sub> implies E = NE [Ben-Divid-Chor-Goldreich-Lubby (1992)]
- 6. NP  $\subseteq$  P<sub>P-comp</sub> implies MA = NP [Köbler-Schuler (2004)]
- 7.  $\Delta_3^p \subseteq \mathsf{P}_{\mathsf{P-comp}}$  implies  $\Sigma_3^p \cap \Pi_3^p \cap \mathsf{P}/\mathsf{poly} = \mathsf{P}$  [Köbler-Schuler (2004)]

# Properties of P<sub>P-samp</sub>

- Here is a short list of properties known today.
- 1.  $P \subseteq P_{P-samp} \subseteq E$  and  $P \neq P_{P-samp} \neq E$  [Schuler (1995)]
- 2. NP  $\subseteq$  P<sub>P-comp</sub> implies NP  $\subseteq$  P<sub>P-samp</sub>. [Buhrman-Fortnow-Pavan (2005)]
- 3. NP  $\subseteq$  P<sub>P-samp</sub> implies  $\Theta_2^p \subseteq$  P<sub>P-samp</sub> [Ben-Divid-Chor-Goldreich-Lubby (1992), Schuler-Watnabe (1995)]

# Complexity Class BPP<sub>F</sub>

- Let F be any set of distributions.
- BPP<sub>F</sub> is composed of all languages L such that, for every μ∈F, there is a probabilistic Turing machine (PTM) M that recognizes L with probability at least 2/3 in time polynomial on μ-average.
- (Claim)  $BPP \subseteq BPP_F \subseteq BPE$  if  $v_{st} \in F$ . [Yamakami (1999)]
- (Claim)  $MA \subseteq BPP_{P-comp} \rightarrow MA_E \subseteq BPE$ . [Yamakami (1999)]
- (Claim) NP ⊆ BPP<sub>P-comp</sub> → NE ⊆ BPE. [Schuler-Yamakami (1992)]

**Open Problems** I

- Here is a short list of open problems associated with real computability.
  - 1. Is  $P_{P-comp} \subseteq P/poly$ ?
  - 2. Is  $P_{P-comp} \subseteq \oplus P$ ?
  - 3. Does  $BPP \subseteq P_{P-comp}$  imply P = BPP?
  - 4. Does NP  $\subseteq$  P<sub>P-comp</sub> imply P = NP?
  - **5.** Is  $MA \subseteq BPP_{P-comp}$ ?
  - 6. Is BPP  $\neq$  BPP<sub>P-comp</sub>?
  - 7. Does NP  $\subseteq$  P<sub>P-comp</sub> imply P-samp  $\leq^{p}$  P-comp?

### Open Problems II

- Here is a short list of open problems associated with real computability.
  - 1. Is  $MA \subseteq BPP_{P-comp}$ ?
  - 2. Is  $P_{P\text{-comp}} \subseteq \oplus P$ ?
  - 3. Is  $MA \subseteq P_{P-comp}$  equivalent to  $MA \subseteq P_{P-samp}$ ?
  - 4. avP-samp Does  $BPP \subseteq P_{P-comp}$  imply P = BPP?
  - 5. Does NP  $\subseteq$  P<sub>P-comp</sub> imply P = NP?
  - 6. Is  $MA \subseteq BPP_{P-comp}$ ?
  - 7. Is BPP  $\neq$  BPP<sub>P-comp</sub>?
  - 8. Does NP  $\subseteq$  P<sub>P-comp</sub> imply P-samp  $\leq^{p}$  P-comp?

# V. Nearly BPP

- 1. Nearly BPP Sets
- 2. Properties of Nearly-BPP

#### **Nearly-BPP Sets**

- A language A is said to be nearly-BPP if, for every polynomial p, there exist a set S and a polynomial-time probabilistic Turing machine M such that, for each x,
  - 1.  $x \in \Sigma^* S \rightarrow \text{Prob}_M[M(x) \neq A(x)] \le 1/3$ , and
  - 2.  $\operatorname{Prob}_{x \in \Sigma n}[x \in S] < 1/p(n)$  for almost all n.



• Let Nearly-BPP be the class of all nearly-BPP languages.

### **Properties of Nearly-BPP**

- We show several properties of Nearly-BPP.
- The notation  $\neq^{avp}$  is the negation of  $\approx^{avp}$  discussed earlier.
- Theorem: [Yamakami (1999)]
  - 1.  $BPP_{P-comp} \subseteq Nearly-BPP$ .
  - 2. NP  $\not\subset$  Nearly-BPP, then P-comp  $\neq^{avp}$  IP<sub>1</sub>-samp.
  - 3. If strong one-way function exists, then NP  $\not\subset$  Nearly-BPP.

### **Open Problems**

- There are numerous open problems.
- 1. Does NP  $\subseteq$  Nearly-BPP?
- **2.** Does  $\Delta_2^p \subseteq$  Nearly-BPP?
- 3. Does  $\oplus P \subseteq$  Nearly-BPP?
- 4. Develop a nice theory of complexity classes Nearly-C for reasonable class C?


## Thank you for listening



## I'm happy to take your question!



